IRON MOUNTAIN®

SOLUTIONS FOR BANKING

BUSINESS AS USUAL IS NO LONGER AN OPTION

SEISMIC SHIFTS ACROSS THE BANKING INDUSTRY ARE CAUSING SIGNIFICANT DISRUPTION. IN AN INCREASINGLY COMPLEX LANDSCAPE, YOU'RE FACED WITH AN EVER-GROWING VOLUME AND VARIETY OF INFORMATION DISTRIBUTED ACROSS MULTIPLE LOCATIONS, SYSTEMS AND PLATFORMS. UNDERSTANDING AND DERIVING VALUE FROM ALL THIS INFORMATION IS CRUCIAL TO YOUR BUSINESS SUCCESS.

WHAT DOES THIS MEAN TO YOU?

The banking industry is highly regulated, ultracompetitive and rapidly changing. As the industry continues to evolve due to regulatory changes and strides made in digital innovation, the way you identify, access, monitor aqnd protect all of the different types of information you manage needs to evolve as well. More specifically, you'll need to:

› ACCELERATE DIGITAL TRANSFORMATION

Banking regulators are now receptive to banks using cloud computing and managed technology services, which gives you options to support back-end systems and online and mobile banking applications for consumers. This approach not only helps you drive innovation but also retain customers and grow revenues. However, you need to be mindful of costs and operational efficiencies as the shift from physical to digital continues. You must also protect your data regardless of format and location while also managing risk and maintaining compliance.
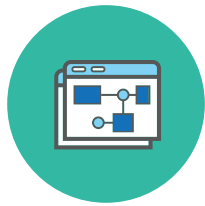
› ENHANCE COMPLIANCE AND GAIN EFFICIENCIES

The distributed nature of today's business environment can create an ecosystem of diverse systems with multiple retention policies. Organizations often encompass multiple departmental "silos," each utilizing its own records and information management processes. This lack of standardization creates inefficiencies that negatively impact your ability to gain critical business insights from your information and increases the risk of audits and legal action.

› ENSURE PROTECTION OF INFORMATION NO MATTER WHAT

As the number of systems generating, storing and sharing information rises, you become more vulnerable to cyberattacks and potential breaches. Putting you at risk of fines and potential damage to your brand. Furthermore, with the cost and frequency of breaches increasing, you need to make sure your information is secure and protected.

ADDRESSING YOUR INFORMATION

INFORMATION MANAGEMENT

DIGITAL TRANSFORMATION

ACCESS AND PROTECT MORTGAGE AND LOAN FILES

Our **Iron Mountain Mortgage and Loan** services help you protect highly sensitive loan documents and collateral files throughout their lifecycle, while facilitating secure conversion and access. If you transfer mortgage servicing rights (MSRs), we help mitigate the risk of lost information and expedite the transfer, regardless of media type.

DESIGNATED THIRD PARTY COMPLIANCE REQUIREMENT

With the **Iron Mountain D3P Compliance** service, you ensure audit readiness by enabling secure access to your archive systems. Via remote access or by going onsite, Iron Mountain experts can test system access and assess any gaps ahead of an audit.

COMPLIANT REPORTING AND RECORDKEEPING OF SWAPS AND DERIVATIVES

Iron Mountain's Advisory Services help you evaluate processes and technologies, and tailor your records and information management program to comply with requirements outlined in the Dodd-Frank Act.

LEVERAGE EXPERT STAFFING AND CONSULTING SERVICES

Iron Mountain Onsite Staffing services can accelerate your records and information management program, streamline your internal processes and and address any existing gaps in your current program.

AUTOMATE YOUR WORKFLOWS

With the **Workflow Automation™ powered by Hyland** solution, you can automate back office workflows, reduce your IT burden, avoid capital investment and reduce operating costs.

DIGITIZE YOUR RECORDS

Address your scanning, indexing and classification needs to support your most complex, information-intensive workflows. With both manual and automated classification services, you'll have continual access to your information.

IMPROVE ACCESS AND CONTROL OF YOUR INFORMATION

Using the secure **Iron Mountain Connect™** online hub, you can easily locate and request records, run inventory reports and manage destruction eligibility.

DEVELOP AND IMPLEMENT RETENTION POLICY

With Iron Mountain's automated **Policy Center** solution, you can consistently apply retention policy as well as keep your policy up to date with the ever-changing regulatory environment.

IDENTIFY AND RESTORE DATA IN THE TIME YOU NEED IT

Iron Mountain's Migration and Restoration services help you restore archived data regardless of the software, media format, or equipment used to place data on tape.

MANAGEMENT CHALLENGES

SECURE STORAGE

SECURE YOUR VITAL RECORDS

Iron Mountain Vital Records Vaults provide heightened security for your most valuable records, such as sensitive human resource documents or loan collateral, in an advanced secure location equipped with firewalls, CCTV on key access points and dedicated dual-access controls.

REPURPOSE VALUABLE ONSITE SPACE

Iron Mountain Records Management services provide secure offsite storage that help you effectively store, manage and safeguard your financial and business records – enabling you to repurpose your file room space for revenue generating activities.

PROTECT VALUABLE ART AND UNIQUE ASSETS

We can help you manage corporate art collections, with private vault storage and open intermingled storage in a climate controlled environment.

SECURE DESTRUCTION

OPTIMIZE RETAIL BRANCH DOCUMENT WORKFLOW

Iron Mountain Retail Branch services provide you with an efficient way for branches to manage information and repurpose existing real estate for more revenue-generating services. Our **Check and Teller Storage and Destruction** services allow your tellers to reduce time spent on back-office processing and increase time spent interacting with customers. If you are consolidating your branch network, our **Closing and Consolidation** services provide expert personnel and secure transportation so that your teams aren't distracted from daily responsibilities.

AVOID A BREACH

Iron Mountain Secure Shredding services protect the privacy of your information by helping you dispose of your records and media in a compliant, safe and cost-effective way. Plus, with our Green Report, you can view the positive impact your shredding program has on environmental and conservation efforts.

The **Iron Mountain Secure IT and e-Waste Asset Disposition service (SITAD)** helps you destroy and recycle or repurpose numerous IT equipment types with reliable, environmentally-compliant and secure services. The IT Asset Disposition solutions reduce e-waste by destroying and recycling or redeploying a wide variety of IT assets.

IRON MOUNTAIN: ENABLING INNOVATION AND DIGITAL TRANSFORMATION

WE MAKE IT EASY TO MANAGE YOUR INFORMATION THROUGHOUT ITS LIFECYCLE. LEVERAGING OUR WORLDWIDE NETWORK OF LOCALLY MANAGED FACILITIES, WE DELIVER SOLUTIONS THAT ARE SECURE, COMPLIANT AND COST-EFFECTIVE.

Banks of all sizes must maintain records – both physical and electronic – for a long time. You need a trusted partner who can help manage multiple information sources and many data formats throughout the information management lifecycle. Iron Mountain offers reliable and secure storage options no matter where information originates.

Whether you need to back up laptops and servers, archive cloud data, set up an additional data center, or recover information from older systems and backup tapes, Iron Mountain can help. Also, our innovative and workflow driven solutions are designed to help you meet your digital transformation goals by enabling you to store any remaining paper offsite while digitizing go-forward information – all while providing you with the tools and technology you'll need to automate business workflows and maintain continued online access to your records. As records and other information assets mature, Iron Mountain can also help you dispose of those items in an environmentally sound way.

Built with regulatory compliance in mind, our solutions are flexible so each can be tailored to meet your company's specific requirements. Count on Iron Mountain to help you assess what information you have, address any gaps there might be in your information governance program, and implement policy-based solutions to manage the records critical to your business.

PROVEN BANKING EXPERIENCE

- > over 65 years banking industry experience

- > more than 2,500 financial services institutions and 2,700 insurance carriers use Iron Mountain's solutions and services

- > we work with 48 of the top 50 U.S. banks, over 95% of the Fortune 1000 and service 95% of US mortgages

- > SOC 2 Type II compliant

EXPANSIVE FOOTPRINT

- > more than 1,400 facilities in over 50 countries

- > responsive and flexible services tailored to meet your needs

- > close proximity to your location for quick turnaround

- > next-day, half-day, and emergency retrieval services available

QUALITY SERVICES

- > facilities securely monitored 24/7

- > infrastructure designed for optimal business continuity

- > certified CRM, IGP, ERMp Consultants and Program Managers

For more information, contact Iron Mountain at 800.899.IRON (4766). For access to additional tools and insights, visit **www.ironmountain.com/banking**.

FOLLOW US

WE PROTECT WHAT YOU VALUE MOST™

800.899.IRON | IRONMOUNTAIN.COM

ABOUT IRON MOUNTAIN

Iron Mountain Incorporated (NYSE: IRM) is the global leader for storage and information management services. Trusted by more than 230,000 organizations around the world, Iron Mountain boasts a real estate network of more than 85 million square feet across more than 1,400 facilities in 53 countries dedicated to protecting and preserving what matters most for its customers. Iron Mountain's solutions portfolio includes records management, data management, cloud services, document management, data centers, art storage and logistics, and secure shredding to help organizations to lower storage costs, comply with regulations, recover from disaster, and better use their information. Founded in 1951, Iron Mountain stores and protects billions of information assets, including critical business documents, electronic information, medical data and cultural and historical artifacts. Visit www.ironmountain.com for more information.

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